

## Audit and Standards Committee Forward Plan 2022/2023

*If you would like to know more about our work programme, please get in touch with Lisa Andrews, Head of Audit & Financial Services, 01785 276402 or [Lisa.Andrews@Staffordshire.gov.uk](mailto:Lisa.Andrews@Staffordshire.gov.uk)*

Item and lead officer	Date of meeting	Links with Council strategic commissioning priorities	Detail	Action/Outcome
<b>26 April 2022</b>				
Annual Report on Information Governance Report of the Director of Corporate Services Lead Officer: Tracy Thorley/Natalie Morrisey				
Internal Audit Plan 2022/23 Report of the County Treasurer Lead Officer: Debbie Harris				
Proposed changes to the Constitution	As required			
Forward Plan for the Audit and Standards Committee Lead Officer: Lisa Andrews				
<b>PART TWO EXEMPT</b> Internal Audit Special Investigation/limited/ Top Risk Areas reports (Part 2 items).	As required			
Special Guardianship Payments Arrangements – progress report				

Item and lead officer	Date of meeting	Links with Council strategic commissioning priorities	Detail	Action/Outcome
Lead Officer – Nicola Hope				
Review of Joint Funding & Billing CCG's Continuing Healthcare - Progress Report Lead Officer – Karen Webb	Deferred to July 2022			
SEND Services – Further Progress Report. Lead Officer – Tim Moss				
<b>05 July 2022</b>				
Appointment of Members to Standards Panel 2022/23. Lead Officer: Chris Ebberley				
Annual Report of the work of the Audit & Standards Committee. Report of the Director for Corporate Services Lead Officer: Lisa Andrews				
External Audit Plan 2021/22 Report of Ernst & Young	Moved from February 2022			
Staffordshire Pension Fund Audit Plan 2021/22 Report of Ernst & Young	Moved from February 2022			
Code of Corporate Governance –2022 Update Report of the Director for Corporate Services Lead Officer: Lisa Andrews				
Internal Audit Outturn Report 2021/22 Report of the County Treasurer Lead Officer: Debbie Harris				
Code of Conduct for Members – Annual Report on the Management of Complaints Report of Director for Corporate Services Lead Officer: Julie Plant				
Proposed changes to the Constitution - Procurement Regulations – Proposed	As required			

Item and lead officer	Date of meeting	Links with Council strategic commissioning priorities	Detail	Action/Outcome
Addition. Lead Officer: Julie Plant				
Forward Plan for the Audit and Standards Committee Lead Officer: Debbie Harris				
<b>PART TWO EXEMPT</b> Internal Audit Special Investigation/limited/ Top Risk Areas reports ( <i>Part 2 items</i> ).	As required	As required		
Appendix 2 – Counter Fraud Outturn Report 2021/22 Lead Officers: Debbie Harris & Dave Fletcher				
Joint Funding & Billing CCG’s Continuing Healthcare - Progress Report on the Implementation of previous audit recommendations Lead Officer – Karen Webb	Moved from April 2022			
<b>20 September 2022</b>				
Annual Audit Letter – 2020/21 Lead Officer: External Auditor (EY)	EY to provide update			
Future Local Public Audit Arrangements – Update. Report of the County Treasurer Lead Officer: Lisa Andrews	Deferred to December 2022 meeting			
Proposed changes to the Constitution	As required			
Forward Plan for the Audit and Standards Committee Lead Officer: Lisa Andrews				
<b>PART TWO EXEMPT</b> Internal Audit Special Investigation/limited/ Top Risk Areas reports ( <i>Part 2 items</i> ).	As required			

Item and lead officer	Date of meeting	Links with Council strategic commissioning priorities	Detail	Action/Outcome
Agency Staffing Contracts Audit Review - Progress Report on the implementation of Recommendations Lead Officers: Sarah Getley/ Cherie Cuthbertson & Paul Timmins				
<b>01 November 2022</b>				
Health, Safety and Wellbeing Performance Annual Report Report of Director for Corporate Services Lead Officer: Becky Lee	B/fwd from December 2022 meeting			
National Fraud Initiative - Update Report of the County Treasurer Lead Officer: Debbie Harris				
Proposed changes to the Constitution	As required (KL to advise)			
Forward Plan for the Audit and Standards Committee Lead Officer: Lisa Andrews				
<b>PART TWO EXEMPT</b> Internal Audit Special Investigation/limited/ Top Risk Areas reports ( <i>Part 2 items</i> ).	As required			
Accelerated Progress Plan – Progress Report Lead Officer: Halit Hulusi				
<b>13 December 2022</b>				
Annual Governance Statement 2021/22 Report of the Director of Corporate Services Lead Officer: Lisa Andrews				
Training on Statement of Accounts Lead Officer: Rachel Spain				

Item and lead officer	Date of meeting	Links with Council strategic commissioning priorities	Detail	Action/Outcome
Statement of Accounts 2021/22 Presentation and Report of County Treasurer Lead Officer: Rachel Spain				
Report to those charged with Governance (ISA 260) a) Staffordshire County Council Report of Ernst & Young				
Report to those charged with Governance (ISA 260) b) Staffordshire Pension Fund Report of Ernst & Young				
Future Local Public Audit Arrangements – Update. Report of the County Treasurer Lead Officer: Lisa Andrews	Moved from September 2022 meeting			
Internal Audit Plan 2022/23 – Update Report of the County Treasurer Lead Officer: Debbie Harris				
Proposed changes to the Constitution	As required (KL to advise)			
Forward Plan for the Audit and Standards Committee Lead Officer: Lisa Andrews				
<b>PART TWO EXEMPT</b> Internal Audit Special Investigation/limited/ Top Risk Areas reports ( <i>Part 2 items</i> ).				
<b>21 February 2023</b>				
Proposed changes to the Constitution	As required (KL to advise)			
Forward Plan for the Audit and Standards Committee Lead Officer: Lisa Andrews				

Item and lead officer	Date of meeting	Links with Council strategic commissioning priorities	Detail	Action/Outcome
<b>PART TWO EXEMPT</b> Internal Audit Special Investigation/limited/ Top Risk Areas reports ( <i>Part 2 items</i> ).				
<b>21 March 2023</b>				
External Audit Plan 2022/23 Report of Ernst & Young				
Staffordshire Pension Fund Audit Planning Report 2022/23 Report of Ernst & Young				
Review of the Effectiveness of the Audit & Standards Committee. Report of the Director for Corporate Services Lead Officer: Lisa Andrews				
Internal Audit Charter 2023/24 Report of the County Treasurer Lead Officer Lisa Andrews				
Proposed changes to the Constitution	As required (KL to advise)			
Forward Plan for the Audit and Standards Committee Lead Officer: Lisa Andrews				
<b>PART TWO EXEMPT</b> Internal Audit Special Investigation/limited/ Top Risk Areas reports ( <i>Part 2 items</i> ).				
<b>24 April 2023</b>				
Annual Report of the work of the Audit & Standards Committee Report of the Director for Corporate Services Lead Officer: Lisa Andrews				

Item and lead officer	Date of meeting	Links with Council strategic commissioning priorities	Detail	Action/Outcome
Strategic Risk Management - Risk Management Policy Statement & Strategy. Report of the Director for Corporate Services Lead Officer: Lisa Andrews				
Annual Report on Information Governance Report of the Director for Corporate Services Lead Officer: Tracy Thorley/ Natalie Morrisey				
Internal Audit Plan 2023/24 Report of the County Treasurer Lead Officer: Debbie Harris				
Proposed changes to the Constitution	As required (KL to advise)			
Forward Plan for the Audit and Standards Committee Lead Officer: Lisa Andrews				
<b>PART TWO EXEMPT</b> Internal Audit Special Investigation/limited/ Top Risk Areas reports ( <i>Part 2 items</i> ).				
Infrastructure+ Asset Data Management – Progress report on implementation of Internal Audit recommendations.  Lead Officers: David Walters/Scott Denny				
Settlement Agreements - Progress report on implementation of Internal Audit recommendations  Lead Officer: Sarah Getley				

**Membership**

*Mike Worthington* Bernard Peters  
*(Chair)* James Salisbury  
*Carolyn Trowbridge* Janice Silvester-Hill  
*(Vice Chair)* Conor Wileman  
Arshad Afsar Ian Wilkes  
Richard Ford Graham Hutton  
Ross Ward Ann Edgeller  
Bernard Williams  
Philippa Haden  
Phil Hewitt

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**Calendar of Future Committee Meetings  
(All meetings at 10.00 a.m. unless otherwise stated)****26 April 2022**

05 July 2022  
20 September 2022  
01 November 2022  
13 December 2022  
21 February 2023  
21 March 2023  
24 April 2023

Meetings usually take place at County Buildings, Martin Street, Stafford ST16 2LH